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**Report To:** Inverclyde Integration Joint Board      **Date:** 24 January 2017

**Report By:** Brian Moore  
Corporate Director (Chief Officer)  
Inverclyde Health & Social Care Partnership      **Report No:** IJB//04/2017/LA

**Contact Officer:** Lesley Aird      **Contact No:** 01475 715381

**Subject:** FINANCIAL MONITORING REPORT 2016/17 – PERIOD TO 31 OCTOBER 2016, PERIOD 7

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## **1.0 PURPOSE**

- 1.1 The purpose of this report is to advise the Inverclyde Integration Joint Board (IJB) of the Revenue and Capital Budgets, other Income Streams and Earmarked Reserves position for the current year as at Period 7 to 31 October 2016.

## **2.0 SUMMARY**

- 2.1 This report outlines the financial position at Period 7 to end October 2016. The current year end projection for the Partnership is an overspend of £0.176m (previous report to Period 5 showed a £0.129m projected overspend) against the approved expenditure budget of £127.490m. This is made up of a forecast £0.176m overspend on Social Work and a forecast breakeven on Health Services, assuming £0.667m of non-recurring funding from the Health Board linked to the delayed delivery of in year savings
- 2.2 The Social Work revised budget is £53.217m with £1.323m of unallocated funds linked to the Social Care Fund and a projected overspend of £0.176m, which is an increase in projected spend of £0.047m since the last report. The main elements of the overspend are detailed within this report and attached appendices:
- 2.3 While Health services are currently projected to be in line with budget there are some issues to note:
- Savings Delivery  
The part year effect of the 2016/17 savings means that £0.667m of the proposed savings to deliver the targeted full year savings will be funded on a non-recurring basis by the Health Board.
  - Mental Health Inpatients  
As per previous reports, there is still an ongoing, inherited budget pressure related to mental health inpatient services due to the high levels of special observations required in that area. Work is ongoing to address this budget pressure for the current and future years.
- 2.4 The Corporate Director (Chief Officer) and Heads of Service will continue to work to mitigate the projected overspend as the year progresses, and take opportunities to reduce expenditure as opportunities arise. It is proposed that any overspend in 2016/17 would be funded by the IJB through the Social Care Fund monies.

- 2.5 The report outlines the current projected spend for the Social Care Fund, Integrated Care Fund and Delayed Discharges money.
- 2.6 The IJB has no capital budget. The assets used by the IJB and related capital budgets are held by the Council and Health Board. Planned capital spend in relation to Partnership activity is budgeted as £1.414m for 2016/17 with an actual spend to end October of £0.274m.
- 2.7 The Council previously held earmarked reserves which related to IJB activity. In September 2016 the Council agreed to transfer these reserves to the IJB to be managed in line with the IJB Reserves Policy. The total funding for 2016/17 is £2.451m, actual spend at Period 7 is £0.671m.

### **3.0 RECOMMENDATIONS**

3.1 It is recommended that the Integration Joint Board:

1. Notes the Period 7 position for 2016/17 (Appendices 1-3);
2. Approves the proposed 2016/17 Health savings detailed in paragraphs 6.3 and 6.4 of the report;
3. Approves the proposed budget realignments and virement (Appendix 4) and authorises officers to issue revised directions to the Council and/or Health Board as required on the basis of the revised figures enclosed (Appendix 5);
4. Agrees the proposed use of the Social Care Fund in 2016/17 and 2017/18 (Appendix 6);
5. Notes the current position for the Integrated Care Fund and Delayed Discharge monies (Appendix 7);
6. Notes the current capital position (Appendix 8),
7. Notes the current Earmarked Reserves position (Appendix 9).

**Brian Moore**  
Corporate Director (Chief Officer)

**Lesley Aird**  
Chief Financial Officer

## 4.0 BACKGROUND

- 4.1 From 1 April 2016 the Health Board and Council delegated functions and are making payments to the IJB in respect of those functions as set out in the integration scheme. The Health Board have also “set aside” an amount in respect of large hospital functions covered by the integration scheme.
- 4.2 The IJB budget for 2016/17 was formally agreed on 16 September 2016. The table below summarises the agreed budget and funding together with the projected outturn at 31 October, £0.176m overspend to be funded by Reserves/the SCF:

	Revised Budget 2016/17 £000	Projected O/Turn @ 31/10 £000	Projected Over/(Under) Spend £000
Social Work Services	53,217	53,393	176
Health Services	74,273	74,273	0
<b>HSCP NET EXPENDITURE</b>	<b>127,490</b>	<b>127,666</b>	<b>176</b>
<b>FUNDED BY</b>			
Social Care Fund	4,449	4,449	0
Transfer from / (to) Reserves	0	176	176
NHS Contribution to the IJB	74,273	74,273	0
Council Contribution to the IJB	48,768	48,768	0
<b>HSCP NET INCOME</b>	<b>126,361</b>	<b>127,666</b>	<b>176</b>
<b>HSCP SURPLUS/(DEFICIT)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>SET ASIDE BUDGET</b>	<b>16,439</b>	<b>16,439</b>	<b>0</b>

## 5.0 SOCIAL WORK SERVICES

- 5.1 The Social Work services approved budget is £53.217m, of which £1.323m of the Social Care Fund was still unallocated. The projected outturn at 31 October 2016 is a £0.176m overspend (0.33%).
- 5.2 The Social Work budget includes an in year savings target of £1.043m, of which the majority has already been delivered. It is anticipated that this will be delivered in full during the year.

Appendix 2 contains details of the Social Work outturn position. The main variances are detailed below with further detail provided in Appendix 2A.

- Residential & Nursing overspend of £0.118m reflecting the increased numbers of beds in use. This is offset by additional one off charging income of £0.126m,
- Homecare overspend of £0.126m on external homecare reflecting the increased hours of care provided, offset by vacancies on internal homecare £0.158m,
- A projected overspend of £0.171m in Learning Disabilities on client care packages. This is partially linked to the move to Redholm,
- Projected net overspends in employee costs in other areas of £0.045m due to increased turnover targets not being achieved in year.

Officers are working to assess the potential impact of the above on the 2017/18 position and put an action plan in place to bring the budget back in line on a recurrent basis.

- 5.3 The Criminal Justice Service is currently funded via a ring fenced grant from the Scottish Government, received via the Criminal Justice Authority. From 1 April

2017 this grant may no longer be ring fenced and may be allocated directly to Inverclyde Council as part of the settlement. The methodology used to allocate the grant has also been changed and the indicative allocation shows a potential grant reduction of between 20% and 25% for Inverclyde over the next five years. Work is currently being undertaken to identify how this will be addressed for 2017/18 and to draw up a five year plan to address the overall reduction. Updates on this work and details of the final budget allocations will be reported to the IJB.

## 6.0 HEALTH SERVICES

- 6.1 The Health services budget is £74.273m and the projected outturn as at Period 7 is in line with that budget.
- 6.2 The total savings target for Health services for 2016/17 was £0.890m. It has been confirmed that based on the Inverclyde proposals for recurring savings to meet this target, the Health Board on a non recurring basis will fund £0.667m of Inverclyde savings which will not be cash delivered in 2016/17.
- 6.3 The proposed savings are analysed below. The detail behind these savings was discussed and agreed at the IJB Development session on 18 November 2016. The IJB is asked to now formally approve these savings in order to finalise the 2016/17 budget position and secure the £0.667m non recurring funding from the Health Board.

	Sum of PYE 16/17	Sum of FYE 16/17	Sum of WTE 16/17
<b>Savings Proposals Summary</b>			
Business Support Review	10,000	40,000	2.0
Reduce Commissioned Service	0	40,000	
Reduce non pay budgets	31,500	374,900	0
Removal of Vacant posts, voluntary reduction in hours, Early Retirals and filling vacancies at lower grades	181,500	435,100	10.51
<b>Grand Total</b>	<b>223,000</b>	<b>890,000</b>	<b>12.51</b>

The savings detailed by service area are as follows:

Summary by Service Area	Sum of PYE	Sum of FYE	Sum of WTE
ADP	31,100	75,000	0.75
Adult Community Services	20,400	138,100	3.54
Business Support	48,000	78,000	3.4
Community	9,000	58,000	1.77
Learning Disabilities	6,500	13,400	0.5
Management	0	107,700	
MH Community	22,500	127,200	1.3
Planning & Health Improvement	28,000	48,300	0.5
Specialist Childrens Services	6,000	41,800	0.75
Various	39,500	75,500	0
Psychology		16,000	
Integrated Care Fund	12,000	111,000	
<b>Grand Total</b>	<b>223,000</b>	<b>890,000</b>	<b>12.51</b>

### 6.4 Key Risks around proposed Health savings

The majority of the proposed 2016/17 savings are low risk and involve either:

- removal or reduction of non pay budgets which have historically underspent or are no longer required or
- removal of posts or part posts already vacant or where staff have reduced hours.

These changes which total £0.804m (90% of the total savings target) are not anticipated to have a material impact on future service delivery.

Other proposals totalling £0.086m (10% of the total savings target) have been ranked Amber by officers as they are considered more challenging in terms of delivery. If either of the savings ranked as Amber become undeliverable officers will develop alternative proposals for the IJB to consider and agree as soon as possible in order to reach the 2016/17 savings target. The key issues around the current proposals and the work that officers have done to mitigate any risks are detailed below:

- savings relating to non pay spend £0.040m linked to spend with an external organisation. Discussions are taking place with this organisation to ensure that the proposed funding reduction and the potential impact is understood. Since the proposal was initially put forward a VAT issue has arisen that may reduce the overall saving which can be generated. Officers are working to clarify the final position.
- Savings relating to staffing £0.046m. This was linked to a proposed realignment of arrangements within Health and Community Services. The saving was anticipated to be delivered through vacancies and reduced hours requests but its successful delivery will require consultation with staff and key stakeholders.

#### 6.5 Mental Health Inpatients

As per previous reports, there is still an ongoing £1.2m budget pressure related to mental health inpatient services due to the high levels of special observations required.

6.6 In 2015/16 planned reductions were made in other budgets to offset the inpatient overspend. This is continuing on a non recurring basis for 2016/17 to offset any balance of cost pressures not resolved in year.

6.7 At Period 7 the in year overspend on this service is £0.639m, which is currently offset by £0.346m of underspends on other budgets leaving a current year to date overspend of £0.293m (this figure is excluding the deferred savings delivery to be funded on a non recurring basis).

6.8 The service has developed and is implementing an action plan to address elements of the historic overspend. This budget will be closely monitored throughout the year and the residual budget pressure will be reflected in the 2017/18 budget proposals to ensure that the underlying budget is sufficient for core service delivery going forward.

6.9 Looking ahead it has been proposed that the Health Board include this budget pressure within the overall Partnerships budget pressures as part of the 2017/18 budget setting process. In that way the three Partnerships which deliver Mental Health inpatient services will not have to fund these historic costs alone, the cost would be spread across the six partnerships. This would be beneficial for Inverclyde as proportionally the current funding gap for this service sitting within the Inverclyde budget is significantly higher than the Inverclyde share of the Board wide gap would be. The argument for this approach is that the main element of the Inverclyde overspend relates to IPCU special observations. The majority of IPCU patients are residents of other IJB areas. Discussions are ongoing regarding this with Health Board finance officers and the Chief Financial Officers of the other HSCPs within Greater Glasgow and Clyde.

#### 6.10 Prescribing

The is a risk sharing arrangement in place in respect of Prescribing budgets across all six Health & Social Care Partnerships. This arrangement means that any overspends are covered in year by the Health Board. However, it is anticipated that this arrangement may be subject to change in the future. This would mean that any overspend would have to be contained locally within each partnership. At period 7 the Inverclyde overspend for 2016/17 is currently £0.096m. Further work is being done to agree the methodology for setting this budget for partnerships for future years. This is likely to be an area of financial risk and pressure for the IJB if the risk sharing agreement is removed.

## **7.0 VIREMENT & OTHER BUDGET MOVEMENTS**

7.1 Appendix 4 details the virements and other budget movements that the IJB is requested to note and approve. These changes have been reflected in this report. The Directions which are issued to the Health Board and Council require to be updated in line with these proposed budget changes. The updated Directions linked to these budget changes are shown in Appendix 5.

## **8.0 SOCIAL CARE FUND, INTEGRATED CARE FUND, DELAYED DISCHARGE FUNDING**

### **8.1 Social Care Fund**

Appendix 6 details the current proposals for use of the £4.449m Social Care Fund (SCF) in 2016/17 and 2017/18. The table has been updated since the budget was agreed in September to reflect the updates agreed by the IJB at its 8 November meeting. Additional proposals for using the funding have been developed, these are noted below and detailed in appendix 6.

- Additional costs relating to the implementation of the Living Wage and revised costs in relation to the anticipated National Care Home Contract (NCHC) uplift for 2017/18
- Swift Upgrade
- Learning Development – Integrated Team Leader (temp funding for 2 years)
- Childrens Residential Accommodation
- Qualified Social Workers
- Mental Health Commissioning
- IJB costs
- Estimated demographic and other cost pressures, including funding the anticipated 2016/17 overspend of £0.176m

It is also proposed that the £0.035m agreed for year 2 of the 2 year transport coordinator post is brought forward to 2016/17 to maximise the funding available in 2017/18 for cost pressures.

8.2 On 15 December 2016 draft settlement letters for 2017/18 were issued by Scottish Government. In these an additional £107m was top-sliced from the Health uplift and allocated to HSCPs as additional SCF. Of this £80m has been earmarked for Council's to enable them to reduce their funding to the IJBs by up to the value of the local share of the £80m, to partially offset the local authority funding reductions. The allocation method for splitting these sums to individual partnerships has still to be confirmed. If it is on the same basis as the £250m this year (ie a combination of GAE and NRAC) the Inverclyde figures would be circa £1.904m and £1.424m respectively. These estimated values have been reflected in Appendix 6 for 2017/18.

8.3 With the additional proposals and the anticipated share of the £107m in 2017/18 factored in there is £0.737m of funding available in 2016/17 and £1.424m in 2017/18, which will become zero if the Council chooses to deduct the full portion of the £80m. Further proposals on the use of this funding in 2016/17 and 2017/18 will

be brought to the IJB for approval as they are developed. Any in year underspend would be taken into IJB Earmarked Reserves for use in the following year on related projects.

#### 8.4 Integrated Care Fund (ICF) and Delayed Discharge Funding (DD)

Appendix 7 details the current budget, projected outturn and actual spend to date for these funds. Both funds have some funds still to be allocated at this stage in the year, ICF has £0.011m funding still available to be allocated and DD has been fully committed for the year.

### 9.0 CURRENT CAPITAL POSITION - £nil Variance

9.1 The Social Work capital budget is £3.898m over the life of the projects with £1.414m for 2016/17, comprising:

- £1.132m for the replacement of Neil Street Children's Home,
- £0.057m for the replacement of Crosshill Children's Home,
- £0.225m for the conversion costs associated with John Street, Gourrock.

The costs of £0.225m associated with John St, Gourrock are being met by funding from the IJB through the SCF and the additional costs for Neil Street Children's Home replacement of £0.133m are being met from the Children's Residential Care, Adoption & Fostering EMR.

9.2 There is no projected slippage in the 2016/17 budget and expenditure to 31 October is £0.274m. Appendix 8 details capital budgets.

9.3 Progress on the Neil Street Children's Home replacement is as follows:

- Building constructed to roof level
- Timber kit installed
- Road infrastructure in progress
- Contractor has intimated three week slippage to programme but is confident of migrating the delay
- Programme completion date 31 March 2017

9.4 Progress on the Crosshill Centre Children's Home replacement is as follows:

- Design in progress
- Decant to Neil Street anticipated June 2017
- Crosshill demolition August 2017
- Construction of new Crosshill Sept 2017 to June

9.5 Progress on the John Street project is as follows:

- Works to fire alarm system, door locks, lift, fire-fighting equipment and sundry minor works now complete
- Sprinkler system out to tender
- Internal alterations (kitchen, dining room, office and shower room) to commence 21 November 2016
- Anticipated completion end of January 2017

### 10.0 EARMARKED RESERVES

10.1 The IJB inherited £2.584m of Earmarked Reserves which were transferred from the Council. Of this total, £2.451m is projected to be spent in the current financial year. To date £0.674m spend has been incurred which is 27.4% of the projected 2016/17 spend. This is £0.063m (8.6%) behind the phased budget. Appendix 9

details the individual Earmarked Reserves.

- 10.2 Within the Earmarked Reserves for 2016/17 is £1.308m relating to the Integrated Care Fund. This is the Council's share of a total allocation to Inverclyde of £1.700m, with the balance funding a number of NHS projects. The funding has been allocated as follows:

<b>Project</b>	<b>£000</b>
Reablement	700
Carers	150
Telecare	100
Community connectors	95
Additional posts to support various projects	93
Third sector integration & commissioning	65
Children & Families transitions	40
Independent sector integration partner	29
Housing	25
Strategic needs analysis admin support	11
<b>Total funding</b>	<b>1,308</b>

It should be noted that the reserves reported exclude those earmarked reserves that relate to budget smoothing, namely:

- Children's Residential Care, Adoption & Fostering
- Deferred Income.

These were retained by Inverclyde Council.

## 11.0 IMPLICATIONS

### 11.1 FINANCE

All financial implications are discussed in detail within the report above.

One off Costs

<b>Cost Centre</b>	<b>Budget Heading</b>	<b>Budget Years</b>	<b>Proposed Spend this Report £000</b>	<b>Virement From</b>	<b>Other Comments</b>
N/A					

Annually Recurring Costs / (Savings)

<b>Cost Centre</b>	<b>Budget Heading</b>	<b>With Effect from</b>	<b>Annual Net Impact £000</b>	<b>Virement From</b>	<b>Other Comments</b>
N/A					

## LEGAL

- 11.2 There are no specific legal implications arising from this report.

## HUMAN RESOURCES

- 11.3 There are no specific human resources implications arising from this report.



## EQUALITIES

11.4 There are no equality issues within this report.

Has an Equality Impact Assessment been carried out?

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YES (see attached appendix)

NO – This report does not introduce a new policy, function or strategy or recommend a change to an existing policy, function or strategy. Therefore, no Equality Impact Assessment is required.

11.5 How does this report address our Equality Outcomes

There are no Equalities Outcomes implications within this report.

Equalities Outcome	Implications
People, including individuals from the above protected characteristic groups, can access HSCP services.	None
Discrimination faced by people covered by the protected characteristics across HSCP services is reduced if not eliminated.	None
People with protected characteristics feel safe within their communities.	None
People with protected characteristics feel included in the planning and developing of services.	None
HSCP staff understand the needs of people with different protected characteristic and promote diversity in the work that they do.	None
Opportunities to support Learning Disability service users experiencing gender based violence are maximised.	None
Positive attitudes towards the resettled refugee community in Inverclyde are promoted.	None

11.6 **CLINICAL OR CARE GOVERNANCE IMPLICATIONS**

There are no governance issues within this report.

11.7 **NATIONAL WELLBEING OUTCOMES**

How does this report support delivery of the National Wellbeing Outcomes

There are no National Wellbeing Outcomes implications within this report.

National Wellbeing Outcome	Implications
People are able to look after and improve their own health and wellbeing and live in good health for longer.	None
People, including those with disabilities or long term conditions or who are frail are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community	None
People who use health and social care services have positive experiences of those services, and have their dignity respected.	None

Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services.	None
Health and social care services contribute to reducing health inequalities.	None
People who provide unpaid care are supported to look after their own health and wellbeing, including reducing any negative impact of their caring role on their own health and wellbeing.	None
People using health and social care services are safe from harm.	None
People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide.	None
Resources are used effectively in the provision of health and social care services.	Effective financial monitoring processes ensure resources are used in line with the Strategic Plan to deliver services efficiently and effectively

## 12.0 CONSULTATION

12.1 This report has been prepared by the IJB Chief Financial Officer. The Chief Officer, the Council's Chief Financial Officer and Director of Finance NHSGGC have been consulted.

## 13.0 BACKGROUND PAPERS

13.1 None.

**INVERCLYDE HSCP****REVENUE BUDGET 2016/17 PROJECTED POSITION****PERIOD 7: 1 April 2016 - 31 October 2016**

SUBJECTIVE ANALYSIS	Approved Budget 2016/17 £000	Revised Budget 2016/17 £000	Projected Out-turn 2016/17 £000	Projected Over/(Under) Spend £000	Percentage Variance
Employee Costs	47,576	48,250	48,093	(156)	-0.3%
Property Costs	1,609	1,165	1,170	5	0.4%
Supplies & Services	64,363	65,286	65,960	674	1.0%
Prescribing	17,989	17,983	17,983	0	0.0%
Resource Transfer (Health)	9,360	9,360	9,360	0	0.0%
Income	(15,704)	(15,289)	(15,635)	(347)	2.3%
Unidentified Savings	(587)	(587)	(587)	0	0.0%
Unallocated Funds	1,536	1,323	1,323	0	0.0%
	<b>126,142</b>	<b>127,490</b>	<b>127,666</b>	<b>176</b>	<b>3.4%</b>

OBJECTIVE ANALYSIS	Approved Budget 2016/17 £000	Revised Budget 2016/17 £000	Projected Out-turn 2016/17 £000	Projected Over/(Under) Spend £000	Percentage Variance
Planning, Health Improvement & Commissioning	2,379	2,550	2,547	(3)	-0.1%
Older Persons	23,243	23,391	23,441	50	0.2%
Learning Disabilities	7,564	7,509	7,645	136	1.8%
Mental Health - Communities	4,565	4,424	4,371	(53)	-1.2%
Mental Health - Inpatient Services	8,230	8,375	8,375	0	0.0%
Children & Families	13,406	13,674	13,720	47	0.3%
Physical & Sensory	2,227	2,150	2,141	(8)	-0.4%
Addiction / Substance Misuse	2,841	2,889	2,872	(17)	-0.6%
Assessment & Care Management / Health & Community Care	5,822	6,321	6,301	(20)	-0.3%
Support / Management / Admin	4,235	4,287	4,316	29	0.7%
Criminal Justice / Prison Service **	0	0	0	0	0.0%
Homelessness	806	803	820	16	2.0%
Family Health Services	21,060	21,571	21,571	0	0.0%
Prescribing	17,989	17,983	17,983	0	0.0%
Resource Transfer	9,360	9,360	9,360	0	0.0%
Change Fund	1,467	1,467	1,467	0	0.0%
Unidentified Savings	(587)	(587)	(587)	0	0.0%
Unallocated Funds	1,536	1,323	1,323	0	0.0%
<b>HSCP NET EXPENDITURE</b>	<b>126,142</b>	<b>127,490</b>	<b>127,666</b>	<b>176</b>	<b>0.1%</b>
<b>FUNDED BY</b>					
Social Care Fund	4,449	4,449	4,449	0	0.0%
NHS Contribution to the IJB	72,878	74,273	74,273	0	0.0%
Council Contribution to the IJB	48,815	48,768	48,768	0	0.0%
Transfer from / (to) Reserves	0	0	176	176	0.0%
<b>HSCP NET INCOME</b>	<b>126,142</b>	<b>127,490</b>	<b>127,666</b>	<b>176</b>	<b>0.1%</b>
<b>HSCP SURPLUS/(DEFICIT)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0%</b>

\*\* Fully funded from external income hence nil bottom line position.

**SOCIAL WORK****DRAFT REVENUE BUDGET 2016/17****PERIOD 7: 1 April 2016 - 31 October 2016**

2015/16 Actual £000	SUBJECTIVE ANALYSIS	Approved Budget 2016/17 £000	Revised Budget 2016/17 £000	Projected Out-turn 2016/17 £000	Projected Over/(Under) Spend £000	Percentage Variance
	<b>SOCIAL WORK</b>					
25,148	Employee Costs	25,865	26,068	25,912	(156)	-0.6%
1,356	Property costs	1,170	1,160	1,164	5	0.4%
875	Supplies and Services	729	810	889	79	9.7%
473	Transport and Plant	380	380	418	38	10.0%
911	Administration Costs	659	742	723	(19)	-2.6%
35,061	Payments to Other Bodies	37,459	36,842	37,419	576	1.6%
(14,488)	Income	(14,533)	(14,108)	(14,455)	(347)	2.5%
	Unallocated Funds	1,536	1,323	1,323	0	0.0%
<b>49,336</b>	<b>SOCIAL WORK NET EXPENDITURE</b>	<b>53,264</b>	<b>53,217</b>	<b>53,393</b>	<b>176</b>	<b>0.3%</b>

2015/16 Actual £000	OBJECTIVE ANALYSIS	Approved Budget 2016/17 £000	Revised Budget 2016/17 £000	Projected Out-turn 2016/17 £000	Projected Over/(Under) Spend £000	Percentage Variance
	<b>SOCIAL WORK</b>					
1,755	Planning, Health Improvement & Commissioning	1,730	1,740	1,737	(3)	-0.2%
22,193	Older Persons	23,243	23,391	23,441	50	0.2%
6,709	Learning Disabilities	6,996	7,000	7,136	136	1.9%
961	Mental Health	1,254	1,298	1,245	(53)	-4.1%
10,102	Children & Families	10,691	10,744	10,791	47	0.4%
2,033	Physical & Sensory	2,227	2,150	2,141	(8)	-0.4%
1,028	Addiction / Substance Misuse	1,040	1,038	1,021	(17)	-1.7%
2,097	Business Support	2,180	2,148	2,177	29	1.4%
1,574	Assessment & Care Management	1,562	1,582	1,562	(20)	-1.3%
0	Criminal Justice / Scottish Prison Service	0	0	0	0	0.0%
	Unallocated Funds	1,536	1,323	1,323	0	0.0%
884	Homelessness	806	803	820	16	2.0%
<b>49,336</b>	<b>SOCIAL WORK NET EXPENDITURE</b>	<b>53,264</b>	<b>53,217</b>	<b>53,393</b>	<b>176</b>	<b>0.3%</b>

2015/16 Actual £000	COUNCIL CONTRIBUTION TO THE IJB	Approved Budget 2016/17 £000	Revised Budget 2016/17 £000	Projected Out-turn 2016/17 £000	Projected Over/(Under) Spend £000	Percentage Variance
<b>49,336</b>	<b>Council Contribution to the IJB</b>	<b>48,815</b>	<b>48,768</b>	<b>48,768</b>	<b>0</b>	<b>0.0%</b>
	Transfer from / (to) Reserves			176	176	
	Balance to be funded by the SCF	<b>4,449</b>	<b>4,449</b>	<b>4,449</b>	<b>0</b>	<b>0.0%</b>

**SOCIAL WORK****PERIOD 7: 1 April 2016 - 31 October 2016**

Extract from report to the Health & Social Care Committee

**Children & Families: Projected £47,000 (0.43%) overspend**

The projected overspend is £27,000 more than reported previously and comprises:

A projected overspend on employee costs of £129,000 mainly relating to residential accommodation where there is a requirement for certain staffing levels. This is a continuing pressure area which was offset in 2015/16 by a number of vacancies within Children & Families.

A projected underspend of £30,000 on Children and Young People Act funding due to delays in projects starting this year,

A projected underspend in kinship of £43,000 due to additional funding received for parity with foster carers.

Any over/ underspends on adoption, fostering and children's external residential accommodation are transferred from/ to the Earmarked Reserve at the end of the year. These costs are not included in the above overspend. The reserve had a balance of £682,000 carried forward from 2015/16 and £133,000 of that was set aside to contribute to the additional costs for the replacement of the Neil Street Children's Home. Overall at period 7 there was a projected net underspend on fostering, adoption and children's external residential accommodation of £212,000 which would be added to the Earmarked Reserve at the end of the year if it continues.

**Older People: Projected £50,000 (0.21%) overspend**

The projected overspend is £172,000 less than previously reported and comprises:

A projected underspend on employee costs of £112,000, a decrease of £28,000. £158,000 relates to vacancies in Homecare and this is offsetting a projected overspend on external homecare costs,

A projected overspend of £126,000 on external homecare costs and domiciliary respite. This is an increase of £20,000 due to a reduction in client numbers,

A projected overspend in Residential & Nursing on care home beds of £88,000 (an increase of £132,000 since period 5). There has been a decrease in the number of care home beds in use from 643 to 640 (628 at the end of 2015/16) and the projection assumes that this will fall to 626 by December 2016. There has also been additional funding allocated to Residential & Nursing from Delayed Discharge EMR and one off income to offset the additional costs of nursing beds.

Residential & Nursing also has additional one off income received for charges of £126,000, an increase of £49,000.

**Learning Disabilities: Projected £137,000 (2.04%) overspend**

This is an increase of £90,000 in the projected position due to changes to client packages. The service are actively seeking to recoup some of additional familiarisation costs which have been paid in relation to Redholm clients from the service provider, and which are included in the current projected overspend.

**Assessment & Care Management: Projected £20,000 (1.27%) underspend**

This relates to a projected underspend on employee costs.

**Mental Health: Projected £53,000 (4.09%) underspend**

This relates to a projected underspend on client package costs of £84,000 and a projected overspend of £25,000 on agency staff. There is additional spend relating to the Neil Street project which is fully funded by Health.

**Additions: Projected £17,000 (1.68%) underspend**

The projected underspend consists of a projected underspend on employee costs due to vacancies, a projected overspend on property costs and a projected underspend on client package costs due to changes in packages.

**Homelessness: Projected £16,000 (2.00%) overspend**

The projected overspend consists of a projected underspend on employee costs due to vacancies offset by a projected overspend on bad debt provision. The bad debt provision is currently under review in light of changes in the number of properties and the impact of Universal Credit.

**Business Support: Projected £28,000 (1.36%) overspend**

This consists of a projected underspend on employee costs of £20,000 due to additional turnover and an overspend on telephone charges of £48,000.

HEALTHDRAFT REVENUE BUDGET 2016/17PERIOD 7: 1 April 2016 - 31 October 2016

2015/16 Actual £000	SUBJECTIVE ANALYSIS	Approved Budget 2016/17 £000	Revised Budget 2016/17 £000	Projected Out-turn 2016/17 £000	Projected Over/(Under) Spend £000	Percentage Variance
	<b>HEALTH</b>					
21,852	Employee Costs	21,711	22,182	22,182	0	0.0%
499	Property	439	5	5	0	0.0%
4,806	Supplies & Services	4,077	4,940	4,940	0	0.0%
20,865	Family Health Services (net)	21,060	21,571	21,571	0	0.0%
17,422	Prescribing (net)	17,989	17,983	17,983	0	0.0%
9,203	Resource Transfer	9,360	9,360	9,360	0	0.0%
	Unidentified Savings	(587)	(587)	(587)	0	0.0%
(1,240)	Income	(1,171)	(1,181)	(1,181)	0	0.0%
<b>73,406</b>	<b>HEALTH NET EXPENDITURE</b>	<b>72,878</b>	<b>74,273</b>	<b>74,273</b>	<b>0</b>	<b>0.0%</b>

2015/16 Actual £000	OBJECTIVE ANALYSIS	Approved Budget 2016/17 £000	Revised Budget 2016/17 £000	Projected Out-turn 2016/17 £000	Projected Over/(Under) Spend £000	Percentage Variance
	<b>HEALTH</b>					
2,625	Children & Families	2,715	2,930	2,930	0	0.0%
4,115	Health & Community Care	4,260	4,739	4,739	0	0.0%
2,447	Management & Admin	2,055	2,139	2,139	0	0.0%
518	Learning Disabilities	568	509	509	0	0.0%
1,858	Addictions	1,801	1,851	1,851	0	0.0%
2,994	Mental Health - Communities	3,311	3,126	3,126	0	0.0%
9,035	Mental Health - Inpatient Services	8,230	8,375	8,375	0	0.0%
821	Planning & Health Improvement	649	810	810	0	0.0%
1,503	Change Fund	1,467	1,467	1,467	0	0.0%
20,865	Family Health Services	21,060	21,571	21,571	0	0.0%
17,422	Prescribing	17,989	17,983	17,983	0	0.0%
0	Unidentified savings	(587)	(587)	(587)	0	0.0%
9,203	Resource Transfer	9,360	9,360	9,360	0	0.0%
<b>73,406</b>	<b>HEALTH NET EXPENDITURE</b>	<b>72,878</b>	<b>74,273</b>	<b>74,273</b>	<b>0</b>	<b>0.0%</b>

2015/16 Actual £000	HEALTH CONTRIBUTION TO THE IJB	Approved Budget 2016/17 £000	Revised Budget 2016/17 £000	Projected Out-turn 2016/17 £000	Projected Over/(Under) Spend £000	Percentage Variance
<b>0</b>	<b>Social Care Fund</b>	<b>4,449</b>	<b>4,449</b>	<b>4,449</b>	<b>0</b>	<b>0.0%</b>
<b>73,406</b>	<b>NHS Contribution to the IJB</b>	<b>72,878</b>	<b>74,273</b>	<b>74,273</b>	<b>0</b>	<b>0.0%</b>

**Budget Movements 2016/17**

**Appendix 4**

Inverclyde HSCP Service	Approved Budget		Movements			Transfers to/ (from) Earmarked Reserves £000	Revised Budget
	2016/17	Inflation	Virement	Supplementary	2016/17		
	£000	£000	£000	Budgets £000	£000		
Children & Families	13,406	0	53	214	0	13,674	
Criminal Justice	0	0	0	0	0	0	
Older Persons	23,243	0	148	0	0	23,391	
Learning Disabilities	7,564	0	7	(62)	0	7,509	
Physical & Sensory	2,227	0	(74)	(2)	0	2,150	
Assessment & Care Management/ Health & Community Care	5,822	0	20	479	0	6,321	
Mental Health - Communities	4,565	17	44	(202)	0	4,424	
Mental Health - In Patient Services	8,230	13	0	133	0	8,375	
Addiction / Substance Misuse	2,841	3	(2)	47	0	2,889	
Homelessness	806	0	0	(3)	0	803	
Planning, HI & Commissioning	2,379	0	39	132	0	2,550	
Management, Admin & Business Support	4,235	0	(23)	75	0	4,287	
Family Health Services	21,060	0	0	512	0	21,571	
Prescribing	17,989	0	0	(6)	0	17,983	
Change Fund	1,467	0	0	0	0	1,467	
Resource Transfer	9,360	0	0	0	0	9,360	
Unidentified Savings	(587)	0	0	0	0	(587)	
Unallocated Funds	1,536	0	(213)	0	0	1,323	
<b>Totals</b>	<b>126,142</b>	<b>33</b>	<b>(0)</b>	<b>1,315</b>	<b>0</b>	<b>127,490</b>	

Social Work Budgets Service	Approved Budget		Movements			Transfers to/ (from) Earmarked Reserves £000	Revised Budget
	2016/17	Inflation	Virement	Supplementary	2016/17		
	£000	£000	£000	Budgets £000	£000		
Children & Families	10,691	0	53	(1)	0	10,744	
Criminal Justice	0	0	0	0	0	0	
Older Persons	23,243	0	148	0	0	23,391	
Learning Disabilities	6,996	0	7	(3)	0	7,000	
Physical & Sensory	2,227	0	(74)	(2)	0	2,150	
Assessment & Care Management	1,562	0	20	0	0	1,582	
Mental Health - Community	1,254	0	44	0	0	1,298	
Addiction / Substance Misuse	1,040	0	(2)	(0)	0	1,038	
Homelessness	806	0	0	(3)	0	803	
Planning, HI & Commissioning	1,730	0	39	(29)	0	1,740	
Business Support	2,180	0	(23)	(9)	0	2,148	
Unallocated Funds	1,536	0	(213)	0	0	1,323	
<b>Totals</b>	<b>53,264</b>	<b>0</b>	<b>(0)</b>	<b>(47)</b>	<b>0</b>	<b>53,217</b>	



Health Budgets	Approved Budget		Movements			Transfers to/ (from) Earmarked Reserves	Revised Budget
	2016/17 £000	Inflation £000	Virement £000	Supplementary Budgets £000	2016/17 £000		
Children & Families	2,715	0	0	215	0	2,930	
Learning Disabilities	568	0	0	(59)	0	509	
Health & Community Care	4,260	0	0	479	0	4,739	
Mental Health - Communities	3,311	17	0	(202)	0	3,126	
Mental Health - Inpatient Services	8,230	13	0	133	0	8,375	
Addiction / Substance Misuse	1,801	3	0	47	0	1,851	
Planning, HI & Commissioning	649		0	161	0	810	
Management, Admin & Business Support	2,055	0	0	84	0	2,139	
Family Health Services	21,060	0	0	512	0	21,571	
Prescribing	17,989	0	0	(6)	0	17,983	
Change Fund	1,467	0	0	0	0	1,467	
Resource Transfer	9,360	0	0	0	0	9,360	
Unidentified Savings	(587)					(587)	
Totals	<u>72,878</u>	<u>33</u>	<u>0</u>	<u>1,362</u>	<u>0</u>	<u>74,273</u>	

### Virement Analysis

	<u>Increase</u> <u>Budget</u> <u>£000</u>	<u>(Decrease)</u> <u>Budget</u> <u>£000</u>
Homecare - payments to other bodies		(30)
Homecare - income	30	
Children & Families - Allocation of SCF	60	
Physical & Sensory - Allocation of SCF	70	
Mental Health - Allocation of SCF	48	
PHI - Allocation of SCF	35	
Unallocated Funds - Allocation of SCF		(213)
	243	(243)

**Supplementary Budget Movement Detail**

£000

£000

<b>Children &amp; Families</b>		<b>214</b>
Central Health Visiting savings proposal deferred to future year	20	
Non Recurring Funding for CAMHS (£0.175m) and Health Visitors (£0.019m)	194	
<b>Learning Disabilities</b>		<b>(62)</b>
LD Redesign	(59)	
Transfer of Gas budgets back to Council	(3)	
<b>Physical &amp; Sensory</b>		<b>(2)</b>
Transfer of Gas budgets back to Council	(2)	
<b>Health &amp; Community Care (Adult Comm Services)</b>		<b>479</b>
Non Recurring Funding - Carers Information Strategy	80	
Non Recurring Funding - Primary Care Transformation	300	
Non Recurring Funding - Primary Care Transformation "Cluster Work"	24	
Non Recurring Funding - Diabetes	94	
Final year of District Nursing RAM implemented	(18)	
<b>Mental Health Communities</b>		<b>(202)</b>
Centralisation of budgets to Facilities - Domestic, Heat, Light, Power,	(134)	
Budget for CRS staff moved to CRS code within Adult Inpatients	(98)	
Budget for Acute Hospital Liaison Nurse from End Point funding	30	
<b>Mental Health Inpatients</b>		<b>133</b>
Budget for CRS staff moved from Communities	98	
Non Recurring Funding - Elderly Consultant	35	
<b>Addictions</b>		<b>47</b>
Non Recurring Funding - Band 6 BBV/Hep C Nurse	47	
<b>Homelessness</b>		<b>(3)</b>
Transfer of Gas budgets back to Council	(3)	
<b>Planning &amp; Health Improvement</b>		<b>132</b>
Non Recurring Funding - Smoking Prevention & Smoking Cessation	94	
Non Recurring Funding - Tobacco Post	11	
Non Recurring Funding - Eat Up	50	
Non Recurring Funding - Child Healthy Weight	6	
Transfer to Welfare Reform fund	(30)	
<b>Management &amp; Admin (Other Services)</b>		<b>75</b>
Centralisation of budgets to Facilities - Domestic, Heat, Light, Power, Maintenance, Rates	(583)	
Non Recurring Savings relief from HB	667	
Transfer to the Council in relation to SWAN telephone contract	(10)	
<b>Family Health Services</b>		<b>512</b>
General Medical Services Budget Uplift	242	
Non Recurring GMS Exchanges	270	
<b>Prescribing</b>		<b>(6)</b>
Minor adjustment to overall budget per Schedule 4 update	(6)	
		<b>1,315</b>

**INVERCLYDE INTEGRATION JOINT BOARD**

**DIRECTION**

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)  
 (SCOTLAND) ACT 2014

**THE INVERCLYDE COUNCIL** is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB's Strategic Plan and existing operational arrangements pending future directions from the IJB.

Services: All services listed in Annex 2, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 2, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

<b>SUBJECTIVE ANALYSIS</b>	Budget 2016/17 £000
<b>SOCIAL WORK</b>	
Employee Costs	26,068
Property costs	1,160
Supplies and Services	810
Transport and Plant	380
Administration Costs	742
Payments to Other Bodies	36,842
Income	(14,108)
Contribution to Earmarked Reserves	0
<b>SOCIAL WORK NET EXPENDITURE</b>	<b>51,894</b>

<b>OBJECTIVE ANALYSIS</b>	Budget 2016/17 £000
<b>SOCIAL WORK</b>	
Planning, Health Improvement & Commissioning	1,740
Older Persons	23,391
Learning Disabilities	7,000
Mental Health	1,298
Children & Families	10,744
Physical & Sensory	2,150
Addiction / Substance Misuse	1,038
Business Support	2,148
Assessment & Care Management	1,582
Criminal Justice / Scottish Prison	0
Change Fund	0
Homelessness	803
<b>SOCIAL WORK NET EXPENDITURE</b>	<b>51,894</b>

This direction is effective from 24 January 2017.

**INVERCLYDE INTEGRATION JOINT BOARD**

**DIRECTION**

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)  
(SCOTLAND) ACT 2014

**GREATER GLASGOW & CLYDE NHS HEALTH BOARD** is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB’s Strategic Plan and existing operational arrangements pending future directions from the IJB.

Services: All services listed in Annex 1, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 1, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

<b>SUBJECTIVE ANALYSIS</b>	Budget 2016/17 £000
<b>HEALTH</b>	
Employee Costs	22,182
Property costs	5
Supplies and Services	4,940
Family Health Services (net)	21,571
Prescribing (net)	17,983
Resources Transfer	9,360
Unidentified Savings	(587)
Income	(1,181)
<b>HEALTH NET EXPENDITURE</b>	<b>74,273</b>
Social Care Fund (SCF)	4,449
<b>NET EXPENDITURE INCLUDING SCF</b>	<b>78,722</b>

<b>OBJECTIVE ANALYSIS</b>	Budget 2016/17 £000
<b>HEALTH</b>	
Children & Families	2,930
Health & Community Care	4,739
Management & Admin	2,139
Learning Disabilities	509
Addictions	1,851
Mental Health - Communities	3,126
Mental Health - Inpatient Services	8,375
Planning & Health Improvement	810
Change Fund	1,467
Family Health Services	21,571
Prescribing	17,983
Unidentified savings	(587)
Resource Transfer	9,360
<b>HEALTH NET EXPENDITURE</b>	<b>74,273</b>
Social Care Fund (SCF)	4,449
<b>NET EXPENDITURE INCLUDING SCF</b>	<b>78,722</b>

The set aside budget is: £16.439m

This direction is effective from 24 January 2017.

## APPENDIX 6

### Social Care Fund - Planned Spend

Proposed use of the Social Care Fund	PROPOSED SPEND	
	2016/17 £m	2017/18 £m
<b>Demand Growth/Charging/Additionality</b>		
Social Care demand growth and other pressures the Council agreed would funded through SCF	1.269	1.269
Charging Thresholds on non residential services	0.110	0.110
Dementia Strategy	0.115	0.115
<b>TOTAL Demand Growth/Charging/Additionality</b>	<b>1.494</b>	<b>1.494</b>
<b>Living Wage/Other Cost Pressures</b>		
Living Wage, including NCHC inflation and sleepover rate changes	1.065	2.470
IJB Specific costs eg SLA for Audit and Legal Services and External Audit Fee	0.051	0.051
John Street costs (one off 2016/17 only)	0.303	0.000
Mental Health Officer new post	0.008	0.048
Patient/Client Transport Coordinator Role (Fixed Term 2 years)	0.035	0.035
Legal Fees Relating to Adoption and Fostering and Adult Services	0.100	0.100
Equipment Investment (one off)	0.070	0.000
<b>TOTAL Living Wage/Other Cost Pressures</b>	<b>1.632</b>	<b>2.704</b>
<b>TOTAL PROPOSED SCF SPEND</b>	<b>3.126</b>	<b>4.198</b>
<b>TOTAL SCF FUNDING</b>	<b>4.449</b>	<b>4.449</b>
<b>TOTAL Balance of funds currently proposed to c/fwd to Earmarked Reserves</b>	<b>1.323</b>	<b>0.251</b>
<b>ANTICIPATED SCF UPLIFT</b>		<b>1.904</b>
<b>ANTICIPATED TOTAL SCF</b>	<b>4.449</b>	<b>6.353</b>
<b>Additional Cost Pressures/Projects - Not Yet Approved</b>		
A. Additional costs associated with initial implementation of the Living Wage from 1st Oct 2016 offset by reduction in estimated cost of 2017/18 NCHC uplift £253k	0.135	0.182
B. Swift Upgrade	0.118	0.002
C. LD - Integrated Team Leader (2 years fixed term)	0.121	
D. Childrens Residential Accommodation - External Placements		0.050
E. Qualified Social Workers		0.111
F. MH Commissioning	0.001	0.003
G. IJB Specific costs eg SLA for Audit and Legal Services and External Audit Fee		0.019
H. Estimated Demographic and other cost pressures	0.176	0.399
Reapportioning the Transport Coordinator Funding	0.035	(0.035)
<b>TOTAL Living Wage/Other Cost Pressures</b>	<b>0.586</b>	<b>0.731</b>
<b>TOTAL Balance of funds currently available to c/fwd to HSCP Reserves</b>	<b>0.737</b>	<b>1.424</b>
<b>Additional Cost Pressures - Not Yet Confirmed/Approved</b>		
The Scottish Government has agreed that Councils can reduce their funding to the HSCPs by a portion of the additional £107m ie up to their share of £80m of the additional Funds		1.424
<b>TOTAL Balance of funds available if above cost pressures/funding reductions are applied</b>	<b>0.737</b>	<b>0.000</b>

**Inverclyde HSCP**  
**Social Care Funds Bid Form 2017/18**

Head of Service	Lesley Aird	Service Area	All
Describe the Proposed Use of Funds			
<b><u>Living Wage &amp; NCHC inflation</u></b>			
The IJB has already allocated sums to fund the 2016/17 Living Wage and National Care Home Contract Inflation through the SCF as outlined below. Further work has been done to calculate the full cost for 2016/17 and estimated uplifts for 2017/18. These included a further 20p increase in Living Wage for 2017/18 and assumed the uplift for 2017/18 would be in line with the 2016/17 levels. The assumptions re the NCHC uplift have been revised down to a 4% overall uplift for 2017/18. The Council CFO has indicated that the Council would underwrite this from their inflation contingency if the nationally set uplift is in excess of this level. The impact of these changes are detailed below:			
		<b>2016/17</b>	<b>2017/18</b>
Sum initially agreed by the IJB		1,065,000	1,611,000
Additional sum for 2017/18 uplifts			859,000
<b>Additional Funds Required</b>			
Living Wage Additional Costs		91,000	202,000
Indicative Rate Increase		44,000	175,000
Living Wage Care at Home etc			234,000
NCHC Contract Inflation @ 4%			430,000
less previous estimate of Living Wage and NCHC uplift			(859,000)
<b>TOTAL Additional Funding Request</b>		<b>135,000</b>	<b>182,000</b>
Total Cost of Living Wage and NCHC		1,200,000	2,652,000
<b>Spend Analysis</b>			
One Off Spend in current year			
Recurring Spend	2016/17	135,000	Future Years
			182,000
Type of Spend	2016/17		Future Years
Employee Costs			
Property Costs			
Supplies & Services			
Administration			
Payments to Other Bodies		135,000	182,000
Capital			
<b>TOTAL</b>		<b>135,000</b>	<b>182,000</b>

**Inverclyde HSCP**  
**Social Care Funds Bid Form 2017/18**

Head of Service	Brian Moore	Service Area	Quality & Development
<b>Describe the Proposed Use of Funds</b>			
<b><u>SWIFT Upgrade</u></b>			
<p>SWIFT is the central information system used by most teams within the HSCP to record a client's personal details, referrals and involvements, the services (the amounts and costs) provided to clients and the billing and payment records for the majority of services contributing to a persons total Costed Package of Care. Funding is being sought to support the implementation of AIS(Adult Integrated Solutions) &amp; CCM(Children's Case Management) system.</p> <p>Swift in its current format is outdated and the Provider "Northgate" are no longer developing this system. We have been informed that support for the current version will be withdrawn at the end of 2017. Failure by the service to proceed with this work will result in ICT being expected to adopt the system and for them to facilitate any legislative changes to ensure compliance. ICT do not have the capacity to take this on. Inverclyde and Shetland are the only Scottish authorities who have not yet implemented AIS and CCM. Without the update or resource to maintain the current system there is a risk that access to the system could fail, and fieldwork staff would not have timely access to client case files. The requested funds would be used to temporarily employ additional staff to undertake the planning and implementation of AIS &amp; CCM, these are the new web based versions of Swift, they offer a comprehensive and effective solution utilising intuitive browser based technology to deliver end to end case management with integrated safeguarding. It provides a robust and flexible platform for delivering personalisation, reablement and prevention services, and directly supports the proactive management of all cases by practitioners and managers across all teams. The Capital costs include Implementation, training, visualisation tool and licence. This bid covers the minimum requirements recommended by Northgate of 30.5 days for consultancy and training costs for the project. Employee costs are based on a Project Manager Grade I and an information analyst/trainer grade G, both at midpoint. ICT have confirmed that they do not have the resources to project manage this implementation.</p>			
<b>Spend Analysis</b>			
One Off Spend in current year	107,695		
Recurring Spend	2016/17	Future Years	2,000
Type of Spend	2016/17	Future Years	
Employee Costs	84,340		
Property Costs			
Supplies & Services			
Administration			
Payments to Other Bodies			2,000
Capital	33,725		
TOTAL	118,065		2,000

**Inverclyde HSCP**  
**Social Care Funds Bid Form 2017/18**

Head of Service	Beth Culshaw	Service Area	Learning Disability
Describe the Proposed Use of Funds			
<b>LD - Integrated Team Leader (2 Years Fixed Term)</b>			
<p>Integrated Team Leader (2 year fixed term) - Learning Disability to develop the learning disability services integration agenda across care management and the multi-disciplinary community learning disability team (Medical, Nursing &amp; Allied Health Professionals) and all key stakeholders fostering an integrated approach to service delivery. The post will operationally lead on aspects of commissioning, clinical and care governance, service development and budgetary management of learning disability across all aspects of day opportunity resources, clinical support, employability, assessment &amp; care management, respite. Self Directed Support, Contractual developments with external Partners. The post would lead on the continuous improvement capability and delivery of all operational activity ensuring that all integrated staff are appropriately developed and professionally supervised and supported to deliver a high quality practice and function for learning disability services.</p>			
Spend Analysis			
One Off Spend in current year	121,000		
Recurring Spend	2016/17	Future Years	
Type of Spend	2016/17	Future Years	
Employee Costs			
Property Costs			
Supplies & Services			
Administration			
Payments to Other Bodies			
Capital			
TOTAL	0		0



**Inverclyde HSCP**  
**Social Care Funds Bid Form 2017/18**

Head of Service	Sharon McAlees	Service Area	Children & Families
Describe the Proposed Use of Funds			
<b>Childrens Residential Accommodation - External Placements</b>			
£150k has been removed from the external residential accommodation budget to fund the loan charges for the new Neil Street & Crosshill residential accommodations. There are continuing pressures on the external residential accommodation budgets due to increased costs from some specialist providers and the fluctuating numbers of children in secure accommodation, which this funding could partially alleviate.			
Spend Analysis			
One Off Spend in current year			
Recurring Spend	2016/17	Future Years	50,000
Type of Spend	2016/17	Future Years	
Employee Costs			
Property Costs			
Supplies & Services			
Administration			
Payments to Other Bodies			50,000
Capital			
TOTAL	0		50,000

**Inverclyde HSCP**  
**Social Care Funds Bid Form 2017/18**

Head of Service	Beth Culshaw	Service Area	Health & Community Care
<b>Describe the Proposed Use of Funds</b>			
<p><b>Qualified Social Workers</b></p> <p>The funds would be to use to recruit qualified social workers (QSW) and one social work assistant for the Assessment &amp; Care Management Team. This is a response to the number and complexity of cases as well as the changes around facilitating hospital discharge and the requirements of self directed support Act. Inverclyde has been operating a successful enablement service which assess new referrals and ensures people reach there optimum potential before determining a support package. There is also an acknowledgement of the growing complexity of peoples health needs and social issues that are now able to remain living independently in their own home alongside greater complexity of care needs for the Older Peoples Care Home population.</p>			
<p>These pressures are against a background of safeguarding referrals including Adult Protection and Adult Welfare Concerns which average at 35 a week. This work can take considerable time to complete and impacts on ability to complete assessment and care management process. We are not maintaining the level of contact or completing reviews of support packages within expected timescales (Care Inspectorate).</p> <p>This has impacted the workforce capacity and resulted in a growing waiting list for assessment of need as well as delays in transferring support packages from the Hospital discharge team and community enablement service which can cause further delay in responding to new referrals.</p>			
<p>The focus will be on 'Home First' concept which is about maximising individuals to their optimum potential and functioning to allow them to remain living independently and avoiding unnecessary hospital admission.</p> <p>This proactive approach will ensure consistent support to vulnerable people and their carers by the ACM team identifying and responding to changes in health, social circumstances in good time before people reach a crisis point in their lives .</p> <p>Health &amp; community Care are looking to improve the assessment and support service in a number of ways including development of service such as OP day services, work with the third sector and carers centre as well as developing a locality focus based around GP clusters for ACM Care at Home service and district Nursing.</p>			
<b>Spend Analysis</b>			
One Off Spend in current year			
Recurring Spend	2016/17	Future Years	111,000
Type of Spend	2016/17	Future Years	
Employee Costs			111,000
Property Costs			
Supplies & Services			
Administration			

Payments to Other Bodies			
Capital			
TOTAL	0		111,000

**Inverclyde HSCP**  
**Social Care Funds Bid Form 2017/18**

Head of Service	Deborah Gillespie		Service Area	Mental Health Commissioning Work
Describe the Proposed Use of Funds				
<b>MH Commissioned Services</b>				
To increase capacity within the service for management of existing commissioned services in mental health and development work for commissioning theme of recovery cross HSCP.				
Extend role of existing Community Alternative Resource Manager, Mental Health for initial period of 18 months. Upgrade from J to K grade				
Spend Analysis				
One Off Spend in current year				
Recurring Spend	2016/17	800	Future Years	3,160
Type of Spend	2016/17		Future Years	
Employee Costs	800			3,160
Property Costs				
Supplies & Services				
Administration				
Payments to Other Bodies				
Capital				
TOTAL		800		3,160

**Inverclyde HSCP**  
**Social Care Funds Bid Form 2017/18**

Head of Service	Brian Moore	Service Area	IJB
Describe the Proposed Use of Funds			
<b>IJB Specific Costs</b>			
<p>The IJB previously approved an initial allocation of £51k for IJB specific costs from this fund based on initial estimates. Since then further clarification has been received in relation to the cost of additional Legal services which has increased the amount required from 2017/18.</p>			
Spend Analysis			
One Off Spend in current year			
Recurring Spend	2016/17	Future Years	19,000
Type of Spend	2016/17	Future Years	
Employee Costs			
Property Costs			
Supplies & Services			
Administration			19,000
Payments to Other Bodies			
Capital			
TOTAL	0		19,000

**Inverclyde HSCP**  
**Social Care Funds Bid Form 2017/18**

Head of Service	Beth Culshaw		Service Area	Older People Services
Describe the Proposed Use of Funds				
<b>Demographic and cost pressure</b>				
A number of services are seeing further budget pressures through increased demand for services due to increasing numbers of service users and increased service requirements of existing service users. In 2016/17 such pressures in Olders People and Learning Disabilities was funded on a non recurring basis from ICF and other budget underspends but requires to be funded recurrently going forward.				
Spend Analysis				
One Off Spend in current year				
Recurring Spend	2016/17	176,000	Future Years	399,000
Type of Spend	2016/17		Future Years	
Employee Costs				
Property Costs				
Supplies & Services				
Administration				
Payments to Other Bodies		176,000		399,000
Capital				
TOTAL		176,000		399,000

**INVERCLYDE HSCP**  
**INTEGRATED CARE FUND & DELAYED DISCHARGE BUDGET 2016/17**  
**PERIOD 7: 1 April 2016 - 31 October 2016**

<b>Integrated Care Fund (ICF)</b>				
<b>By Organisation</b>	<b>Revised Budget</b>	<b>Projected outturn</b>	<b>Variance</b>	<b>Actuals to 31/8/16</b>
HSCP Council	1,114,680	1,114,680	0	208,182
HSCP Council Third Sector	259,370	259,370	0	176,874
HSCP Health	367,130	367,130	0	63,490
Acute	95,000	95,000	0	0
	<b>1,836,180</b>	<b>1,836,180</b>	<b>0</b>	<b>448,546</b>
<b>Funding</b>				
Original funding		1,760,000		
Saving applied 15/16		(161,200)		
Savings 2016/17 (also covering in year pressures)		(100,000)		
Carry forward from 15/16		348,260		
<b>Funding available</b>		<b>1,847,060</b>		
<b>Funding remaining/ (over committed) on revised budget</b>		<b>10,880</b>		

<b>Delayed Discharge (DD)</b>				
<b>Summary of allocations</b>	<b>Revised Budget</b>	<b>Projected outturn</b>	<b>Variance</b>	<b>Actuals to 31/8/16</b>
Council	827,510	827,510	0	152,850
Health	80,000	80,000	0	0
Acute	50,000	50,000	0	0
	<b>957,510</b>	<b>957,510</b>	<b>0</b>	<b>152,850</b>
<b>Funding</b>				
Brought forward from 2015/16		429,510		
New funding 16/17		528,000		
<b>Funding available</b>		<b>957,510</b>		
<b>Funding remaining/ (over committed)</b>		<b>0</b>		

APPENDIX 8

**INVERCLYDE HSCP - CAPITAL BUDGET 2015/16**

**PERIOD 7: 1 April 2016 - 31 October 2016**

<u>Project Name</u>	<u>Est Total Cost</u>	<u>Actual to 31/3/16</u>	<u>Approved Budget 2016/17</u>	<u>Revised Est 2016/17</u>	<u>Actual YTD</u>	<u>Est 2017/18</u>	<u>Est 2018/19</u>	<u>Future Years</u>
	£000	£000	£000	£000	£000	£000	£000	£000
<b>SOCIAL WORK</b>								
Neil Street Children's Home Replacement	1,991	228	1,132	1,132	247	631	0	0
Crosshill Children's Home Replacement	1,682	0	57	57	1	1,535	90	0
John Street, Gourrock	225	0	0	225	26	0	0	0
<b>Social Work Total</b>	<b>3,898</b>	<b>228</b>	<b>1,189</b>	<b>1,414</b>	<b>274</b>	<b>2,166</b>	<b>90</b>	<b>0</b>
<b>HEALTH</b>								
<b>Health Total</b>	0	0	0	0	0	0	0	0
<b>Grand Total HSCP</b>	<b>3,898</b>	<b>228</b>	<b>1,189</b>	<b>1,414</b>	<b>274</b>	<b>2,166</b>	<b>90</b>	<b>0</b>



## EARMARKED RESERVES POSITION STATEMENT

## APPENDIX 9

### INVERCLYDE HSCP

PERIOD 7: 1 April 2016 - 31 October 2016

Project	Lead Officer/ Responsible Manager	Total Estimated Funding £000	Phased Budget YTD £000	Actual YTD £000	Projected Spend to Yearend £000	Amount to be Earmarked for Future Years £000	Lead Officer Update
Self Directed Support / SWIFT Finance Module	Derrick Pearce / Alan Brown	43	0	0	25	18	This supports the continuing promotion of SDS
Growth Fund - Loan Default Write Off	Helen Watson	27	0	1	2	25	Loans administered on behalf of DWP by the credit union and the Council has responsibility for paying any unpaid debt. This requires to be kept until all loans are repaid and no debts exist.
Integrated Care Fund/ Delayed Discharge	Brian Moore	1,992	476	536	1,992	0	The Integrated Care Fund funding has been allocated to a number of projects, including reablement, housing and third sector & community capacity projects. The total funding will change as projects move between health & council. Delayed Discharge funding has also been received and has been allocated to specific projects, including overnight home support and out of hours support.
Support all Aspects of Independent Living	Brian Moore	50	0	0	50	0	This is the balance of one off NHS funding for equipment which was not fully spent in 2015/16
Veterans Officer Funding	Helen Watson	37	0	0	12	25	Council's contribution to a three year post hosted by East Renfrewshire Council on behalf of Inverclyde, Renfrewshire and East Renfrewshire Councils.
CJA Preparatory Work	Sharon McAleese	120	33	30	55	65	This reserve is for two years to cover the preparatory work required for the changes due in Criminal Justice.
Welfare Reform - HSCP	Andrina Hunter	315	225	104	315	0	New Funding of £306k was allocated from P&R Committee. The funding is being used for staff costs and projects, including IHeat, Starter Packs, ICOD and Financial Fitness.
<b>Total</b>		<b>2,584</b>	<b>734</b>	<b>671</b>	<b>2,451</b>	<b>133</b>	